

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : A61L 29/00, 27/00, C08F 220/28, 290/06		A1	(11) International Publication Number: WO 97/14448
			(43) International Publication Date: 24 April 1997 (24.04.97)
(21) International Application Number: PCT/GB96/02557		(81) Designated States: AU, GB, JP, US, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: 17 October 1996 (17.10.96)			
(30) Priority Data: 9521253.6 17 October 1995 (17.10.95) GB		Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
(71)(72) Applicants and Inventors: LUTHRA, Ajay, Kumar [GB/GB]; 219 Somervell Road, South Harrow, Middlesex HA2 8UA (GB). SANDHU, Shivpal, Singh [GB/GB]; 63 Lascelles Road, Slough, Berkshire SL3 7PW (GB).			
(74) Agent: WOLFF & LUNT; 1 Richfield Place, 12 Richfield Avenue, Reading, Berkshire RG1 8EQ (GB).			
(54) Title: BIOCOMPATIBLE LUBRICIOUS HYDROPHILIC MATERIALS FOR MEDICAL DEVICES			
(57) Abstract <p>A biocompatible, lubricious, hydrophilic material useful for coating medical devices or for blending into polymer compositions intended for making medical devices comprises a terpolymer of 5 to 25 mole percent of a polymerisable monomer (1) having a polyethylene oxide unit with an average degree of polymerisation from 5 to 18 and a polymerisable carbon-carbon double bond, 5 to 30 mole percent of a polymerisable monomer (2) having a polyethylene oxide unit with an average degree of polymerisation from 19 to 65 and a polymerisable carbon-carbon double bond, and 45 to 90 mole percent of an alkyl methacrylate (3): (1) $\text{CH}_2=\text{C}(\text{R})-\text{CO}-[\text{O}-\text{CH}_2-\text{CH}_2]_{n1}-\text{O}-\text{R}$ where $n1$ is from 5 to 18, and each R is independently H or CH_3; (2) $\text{CH}_2=\text{C}(\text{R})-\text{CO}-[\text{O}-\text{CH}_2-\text{CH}_2]_{n2}-\text{O}-\text{R}$ where $n2$ is from 19 to 65, and each R is independently H or CH_3; (3) $\text{CH}_2=\text{C}(\text{CH}_3)-\text{CO}_2-(\text{CH}_2)_m-\text{CH}_3$ where m is from 3 to 17, which may be made by the aqueous emulsion polymerisation of the monomers.</p>			

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

BIOCOMPATIBLE LUBRICIOUS HYDROPHILIC MATERIALS FOR MEDICAL DEVICES

In recent years there has been an increased awareness of the need for biocompatible materials for medical devices. Currently medical devices are typically made from synthetic polymeric materials such as polyvinylchloride (PVC), polyurethanes (PU), polybutadienes (latex), polyamides (PA) and others. It has also been recognised that hydrophilic materials offer good biocompatibility to medical devices when in contact with biological fluids or living tissue. These hydrophilic materials significantly reduce adsorption of proteins and of cellular components such as platelets, leucocytes, erythrocytes and fibroblasts, and also reduce activation of intrinsic and extrinsic blood clotting pathways.

In addition to the biocompatibility of the material, the lubricity of the coating is also important, as it minimises patient trauma, and allows ease of insertion and removal of the device. An example of an important application of a biocompatible lubricious hydrophilic material is during chest drainage, which occurs after cardio-thoracic surgery. In this chest drainage procedure, preformed blood clots and whole blood is able to slide down the medical device such as thoracic drain catheter. This is achieved because of the lubricious (slippery) nature of the coated device. A biocompatible lubricious hydrophilic medical device can be used in other wound drain applications.

-2-

It has been well recognised that polyethylene oxide (PEO) (also called polyethylene glycol or PEG) when bound to a medical device offers good biocompatibility, lubricity and hydrophilicity. US patent No 4,424,311 discloses a polymerisable PEO monomer having polyethylene oxide unit with a carbon-carbon double bond which is grafted on to PVC or vinyl chloride-vinyl acetate copolymer or vinyl chloride-vinyl acetate-ethylene terpolymer. Disadvantages of grafting PEO are that it is a lengthy procedure and the grafted PEO units are unevenly distributed. Therefore, homogenous coverage on the surface is not achieved, which results in reduced biocompatibility and lubricity.

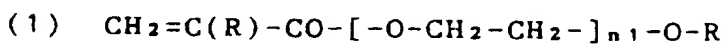
In another US patent, No 5,075,400, PEO containing a polymerisable carbon-carbon double bond is polymerised, in toluene, with methyl methacrylate or ethyl methacrylate. The resultant polymer, which is referred to as supersurfactant, is predominantly water soluble and is adsorbed onto various polymeric surfaces from water, water/ethanol mixtures, or ethanol. A disadvantage of these polymers is that they act as surfactants, are adsorbed on to the surface and therefore would be readily de-sorbed from the surface when they are in contact with biological fluids such as blood, as blood has surfactant properties. Similarly, the lubricious properties would also be lost since the adsorbed surfactant is not stable on the surface. Another disadvantage is that the polymer supersurfactant is synthesised by solution polymerisation in toluene and as a result high molecular weight polymer containing PEO would be difficult to produce, since PEO polymers have limited solubility in toluene.

It is an object of the present invention to mitigate or overcome some of the aforementioned disadvantages encountered in the prior art.

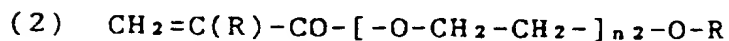
The present invention is concerned with biocompatible, lubricious, hydrophilic materials suitable for use in medical devices or otherwise. It is proposed that the materials may be used to coat a substrate such as a medical device or may be blended into a polymer composition prior to formation of the medical device or other article. The invention extends to polymers, their production methods, and their uses as coatings or components of articles of manufacture.

In one aspect of the present invention a biocompatible, lubricious, hydrophilic material for medical devices or other applications can be produced by aqueous emulsion polymerisation to yield a polymer with the desired hydrophobic and hydrophilic domains, not conventionally obtained by solution polymerisation, wherein the emulsion polymer produced is a stable emulsion having high molecular weight.

In accordance with a more specific aspect of the invention, there is provided a biocompatible, lubricious, hydrophilic material comprising a terpolymer of 5 to 25 mole percent of a polymerisable monomer (1) having a polyethylene oxide unit with an average degree of polymerisation from 5 to 18 and a polymerisable carbon-carbon double bond, 5 to 30 mole percent of a polymerisable monomer (2) having a polyethylene oxide unit with an average degree of polymerisation from 19 to 65 and polymerisable carbon-carbon double bond, and 45 to 90 mole percent of an alkyl methacrylate (3):



where $n1$ is from 5 to 18, and each R is independently H or CH_3



where $n2$ is from 19 to 65, and each R is independently H or CH_3

-4-

- (3) $\text{CH}_2=\text{C}(\text{CH}_3)-\text{CO}_2-(\text{CH}_2)_m-\text{CH}_3$
where m is from 3 to 17.

Monomers (1) and (2) are hydroxy or, preferably, methoxy polyethyleneglycol acrylates or, preferably, methacrylates, and provide the hydrophilic moieties in the terpolymer. Monomer (3), ranging from butyl to octadecyl methacrylate, provides the hydrophobic moieties.

The preferred molar proportions of (1), (2) and (3) are about 15% each of (1) and (2) and 70% of (3). In weight terms, proportions of 6 to 20% of (1), 40 to 80% of (2) and 10 to 50% of (3) are generally appropriate.

It is preferred that monomer (1) has polyethylene oxide units with a degree of polymerisation n_1 from 5 to 12, more especially a degree of polymerisation n_1 from 5 to 10.

It is preferred that monomer (2) has polyethylene oxide units with a degree of polymerisation n_2 from 20 to 50, more especially a degree of polymerisation n_2 from 22 to 48.

It is preferred that monomer (3) is n-butyl methacrylate.

The incorporation of significant proportions of monomer (2) of higher molecular weight than monomer (1) allows the formation of a solid dry powdery product with advantageous properties of handling, processing and storage.

The production of a terpolymer by polymerising monomers (1), (2) and (3) may be carried out in water to produce an aqueous emulsion polymer which is stable, having high molecular weight.

-5-

The production of the terpolymer in water differs significantly from production in organic solvents in two respects.

- a) Higher molecular weights of the terpolymer can be achieved by emulsion polymerisation than by solution polymerisation in organic solvents, as PEO solubility decreases with increasing molecular weight for polymerisation carried out in organic solvents such as toluene, ethyl and butyl acetate, alcohols etc.
- b) Aqueous emulsion polymerisation allows polymers to be produced where the hydrophobic monomer (3) is phase separated to a certain degree to produce a polymer having hydrophilic and hydrophobic domains. This allows the polymer to be adsorbed, solvent welded or blended with PVC, polyurethanes, polybutadienes and the like. Polymerisation of the above three monomers (1), (2) and (3) in organic solvents produces polymers which are random, and phase separated domains of hydrophilic and hydrophobic do not occur. This results in poor adhesion of the polymer on to PVC, polyurethanes, polybutadienes and the like.

Aqueous emulsion polymerisation of monomers (1), (2) and (3) can be initiated by conventional water soluble initiators such as potassium persulphate. After polymerisation, the terpolymer is dialysed against water to remove unreacted monomer and the resultant polymer is freeze dried, spray dried or treated by other means to obtain a dry powder.

The resultant terpolymer may then be dissolved in organic solvents, such as alcohols, acetone or tetrahydrofuran (THF) or mixtures thereof, and coated on to prefabricated devices, or blended with other polymers, such as PVC, polyurethanes, polybutadienes and the like.

-6-

The coating of the terpolymer on to medical devices made from PVC, PVC blended with other polymers such as polyurethanes, vinyl chloride-vinyl acetate copolymer or vinyl chloride-vinylacetate-ethylene terpolymer, PU, PA and the like can be carried out by dripping, spraying or any other means by which a homogenous coating may be obtained on the substrate, followed by any necessary drying out.

Depending on the choice of the solvent and substrate the coating can be adsorbed onto the surface or it can be solvent welded. For instance, if the coating is dissolved in a solvent such as THF and the substrate to be coated is polyethylene (PE), only an adsorbed coating will be produced, since THF is a non-solvent for PE. If however the substrate is PVC the coating can be classified as being solvent welded, since THF is a known solvent for PVC. The terpolymer penetrates deep into the PVC polymer, thereby producing a very durable biocompatible, lubricious, hydrophilic coating on the PVC substrate.

As for polybutadiene (latex rubber), the terpolymer can be coated onto a preformed device from a suitable organic solvent; or the emulsion polymer, after dialysis, can be added directly to and blended with the latex and a medical device can then be fabricated from the mixture.

Similarly, for treating polyurethanes, the terpolymer can be coated onto a preformed device from a suitable solvent or it can be melt-mixed with the polyurethane and then the device fabricated.

Example 1

Methoxy polyethyleneglycol methacrylates (monomers (1) and (2), R being methyl throughout) were purchased from

-7-

International Speciality Chemicals, UK. Butyl methacrylate (monomer (3), m being 3) and potassium persulphate were purchased from Aldrich Chemical Co., UK.

192g Methoxy polyethyleneglycol methacrylate with molecular weight of 2000 and with a polyethylene oxide unit number n2 of approximately 45 (0.1 mole) (MPEG2000MA) was added to 100ml of water. On dissolving the MPEG2000MA, 36g of methoxy polyethyleneglycol methacrylate with a molecular weight of 350 and with a polyethylene oxide unit number n1 of approximately 8 (0.1 mole) (MPEG350MA) was added, together with 72g of n-butyl methacrylate (0.46 mole). The molar proportions of MPEG350MA (1): MPEG2000MA (2): n-butyl methacrylate (3) were accordingly 15:15:70.

A 2 litre three-necked round bottom flask fitted with a reflux condenser, a thermometer and a nitrogen bleed was charged with 1180ml of distilled water and heated to 80°C. The monomer solution was poured into the reaction vessel and polymerisation was initiated with the addition of 2g of potassium persulphate. The reaction was allowed to proceed for 10 minutes and then the reaction vessel was cooled to room temperature. A milky white viscous aqueous emulsion polymer resulted. The polymer was dialysed against water for 24 to 48 hours. The dialysed polymer was then freeze dried to obtain a white powder with a yield of 80%.

Aqueous based Gel Permeation Chromatography (GPC) was conducted on the aqueous emulsion after dialysis. The emulsion polymer had a molecular weight distribution in the range 40-70 kilodaltons.

Other monomer concentrations within the embodiments of this invention were also used to synthesise the aqueous polymer emulsions. All polymers synthesised gave molecular weight distributions in the range 40-70 kilodaltons.

Example 2

Adsorption and solvent welding of terpolymer on to hydrophobic surfaces.

a) Adsorption

Test pieces of low density polyethylene (PE) sheets were cut in 2cm² pieces and then dipped into a THF solution containing 1.5% w/v of the terpolymer produced in Example 1. The PE sheets were allowed to dry at room temperature for 24 hours.

b) Solvent Welding

Thoracic drain catheters (supplied by Portex Ltd, UK) made from plasticised PVC were dipped into a THF solution containing 1.5% w/v of the terpolymer produced in Example 1 and allowed to dry at room temperature for 24 hours.

Example 3

PE sheets and PVC tubing coated as in Example 2 were then assessed for platelet adhesion using whole blood from volunteers. Platelet adhesion on coated and uncoated test samples was measured using standard ATP luminescence technique.

Table 1 shows the results of platelet adhesion studies. The results clearly show that there is a dramatic reduction in platelet adhesion on coated samples relative to the uncoated. There is a greater than 90% reduction in platelet adhesion on coated samples.

Table 1
Platelet Adhesion (platelets $\times 10^6$)

	Volunteer Number				
	1	2	3	4	Mean
Uncoated PE	78.08	90.67	97.54	104.08	72.19
Coated PE	4.32	6.45	5.88	5.89	5.635
Uncoated PVC tubing	54.02	73.36	69.31	108.04	76.18
Coated PVC tubing	4.21	4.77	5.74	3.08	4.45

Example 4

PVC tubing was coated with the terpolymer as described in Example 2 and then tested for whole blood clotting times using Modified Lee White Test.

Approximately 10mls of blood was withdrawn by clean venepuncture from healthy human volunteers. A known volume of blood was transferred into the PVC tubing which was clamped at one end. At approximately 15 second intervals the tubes were removed from a water bath (bath temperature -37°C) and examined for any clot formation. Time taken for the blood to clot was recorded to the nearest quarter of a minute.

Table 2 shows the results of the above experiment. The results clearly show that the clotting time for the coated samples was double that of the uncoated samples.

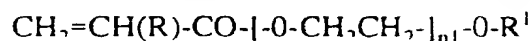
Table 2
Modified Lee White Test (Time: minutes)

	Volunteer Number			
	1	2	3	4
Uncoated PVC tubing	5.25	5.50	5.25	5.33
Coated PVC tubing	11.00	10.5	11.00	10.83

-10-

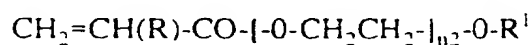
CLAIMS

1. A biocompatible lubricious, hydrophilic material which comprises a terpolymer of from 5 to 25 mole percent of a first monomer (1) having the formula



wherein R and R¹ are independently H or CH₃ and n₁ is from 5 to 18

from 5 to 30 mole percent of a second monomer (2) having the formula



where R and R¹ are independently H or CH₃ and n₂ is from 19 to 65, and

from 45 to 90 mole % of an alkyl methacrylate (3) having the formula



wherein m is from 3 to 17.

2. A terpolymer according to Claim 1 wherein R¹ in the first and second monomers is CH₃.

3. A terpolymer according to Claim 1 or 2 which comprises 6 to 20% by weight of the first monomer (1), from 40 to 80% by weight of the second monomer (2), and from 10 to 50% by weight of the alkyl methacrylate (3).

4. A terpolymer according to any one of Claims 1 to 3 wherein n₁ is from 5 to 10.

5. A terpolymer according to any one of Claims 1 to 4 wherein n₁ is from 22 to 48.

6. A terpolymer according to any one of Claims 1 to 5 wherein the alkyl methacrylate is butyl methacrylate.

- 11 -

7. A terpolymer according to any one of Claims 1 to 6 which is a block copolymer with hydrophobic and hydrophilic domains.
8. A method of producing a terpolymer according to Claim 7 which comprises subjecting the first monomer (1), the second monomer (2) and the alkyl methacrylate (3) to aqueous emulsion polymerisation, dialysing the resulting polymer against water to remove unreacted monomer, and drying the dialysed terpolymer.
9. An article of manufacture having a lubricious biocompatible surface wherein said surface is provided by coating the article with a solution of a terpolymer according to any one of Claims 1 to 7.
10. A medical device which comprises an article according to Claim 9.
11. A lubricious biocompatible polymer composition which comprises a blend of a terpolymer according to any one of Claims 1 to 7 with a second compatible polymer.
12. A medical device formed from a polymer composition according to Claim 11.

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/GB 96/02557

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61L29/00 A61L27/00 C08F220/28 C08F290/06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61L C08F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 075 400 A (ANDRADE JOSEPH D ET AL) 24 December 1991 cited in the application ---	
A	US 4 424 311 A (NAGAOKA SHOJI ET AL) 3 January 1984 cited in the application ---	
A	GB 848 919 A (THE B.F. GOODRICH COMPANY) 21 September 1960 ---	
A	WO 86 02087 A (YTKEMISKA INST) 10 April 1986 ---	
A	EP 0 538 640 A (NIPPON ZEON CO) 28 April 1993 -----	

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

- * "A" document defining the general state of the art which is not considered to be of particular relevance
- * "E" earlier document but published on or after the international filing date
- * "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- * "O" document referring to an oral disclosure, use, exhibition or other means
- * "P" document published prior to the international filing date but later than the priority date claimed

- * "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- * "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- * "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- * "&" document member of the same patent family

Date of the actual completion of the international search

17 February 1997

Date of mailing of the international search report

27-02-1997

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,
Fax (+ 31-70) 340-3016

Authorized officer

Cousins-Van Steen, G

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PC1/GB 96/02557

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-5075400	24-12-91	AT-T- 124963 DE-D- 68923444 EP-A- 0394400 JP-T- 3505471 WO-A- 9003406	15-07-95 17-08-95 31-10-90 28-11-91 05-04-90
US-A-4424311	03-01-84	JP-C- 1618978 JP-B- 2039529 JP-A- 58005320 EP-A- 0068509	30-09-91 06-09-90 12-01-83 05-01-83
GB-A-848919		NONE	
WO-A-8602087	10-04-86	SE-B- 444950 AU-A- 4965185 EP-A- 0229066 JP-T- 62500307 SE-A- 8404866 SU-A- 1729284 US-A- 4840851	20-05-86 17-04-86 22-07-87 05-02-87 29-03-86 23-04-92 20-06-89
EP-A-0538640	28-04-93	DE-D- 69213384 DE-T- 69213384 JP-A- 5262830 US-A- 5280080 US-A- 5216101	10-10-96 23-01-97 12-10-93 18-01-94 01-06-93

THIS PAGE BLANK (USPTO)